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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Application Number

Application Number First Named Inventor Alex Urich Art Unit 3763 **Examiner Name** Laura A. Bouchelle Attorney Docket Number CTREE-72853

Total Number of I	Pages in This Submission		4	Attorney Docket N	lumber	CTREE-72853
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Firm Name	FULWIDER PATTO	N LLP		<u> </u>		
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Printed name	John K. Fitzgerald	2	\$			
Date	July 21, 2006				Reg. No.	38,881

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Typed or printed name John K Fitzgerald Date

July 21, 2006

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hn K. Fitzgerald, Reg. No. 38,8

JUL 25 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

09/922,473

Confirmation No. 7208

Applicant

Alex Urich

Filed

: August 3, 2001

Art Unit

: 3763

Examiner

: Laura A. Bouchelle

Title

SURGICAL FLOW RESTRICTOR AND FILTER

Docket No.:

CTREE-72853

Customer No.

: 24201

Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. § 1.56 and the procedure set forth in 37 C.F.R. §§ 1.97 and 1.98, the Applicant submits for the Examiner's consideration the attached PTO Form PTO/SB/08A.

The undersigned hereby certifies that the cited documents were brought to the Applicant's attention in an Office Action for a pending European application which asserts priority to U.S. Serial No. 09/922,473 ("'473 application"), which Office Action was communicated on April 25, 2006, not more than three months ago.

The references cited on the Form PTO/SB/08A may not constitute prior art to the subject matter of the invention claimed in the pending application. The documents therefore are disclosed here in an abundance of caution, in light of 37 C.F.R. § 1.56.

The Applicants respectfully request that the Examiner consider this Information Disclosure Statement. As the Applicant has not received a final Office action for the '473 application, this Information Disclosure Statement is submitted pursuant to 37 C.F.R. § 1.97(c) and it is believed that no fee is due. However, in the event that this paper should cross in the mail with a final Office action, the Applicant hereby petitions the Office to consider the Information Disclosure Statement. Please charge our Deposit Account No. 06-2425 for the petition fee under 37C.F.R.§ 1.17(p)

Date: July 20, 2006

Respectfully submitted,

FULWIDER PATTON LLP

Bv

John K. Fitzgerald

Registration No. 38,881

JKF:kas
Howard Hughes Center

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Los Angeles, CA 90045 Telephone: (310) 824-5555

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Customer No. 24201

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Serial No.: 09/922,473 Docket No.: CTREE-72853

PTO/SB/08a (07-05)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known

Application Number 09/922,473

Filing Date August 3, 2001

First Named Inventor Alex Urich

Art Unit 3763

Examiner Name Laura A. Bouchelle

Attorney Docket Number CTREE-72853

	U.S. PATENT DOCUMENTS									
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant					
Initials	No.1	Number-Kind Code 2 (4 known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear					
		US- 4,393,879	07/19/1983	Hyman T. Milgrom						
		US- 4,813,926	03/21/1989	Michael J. Kerwin						
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	FOREIGN PATENT DOCUMENTS										
Examiner	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant				
Initials	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	Τ ^β			
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Examiner	Date	
Signature	Considered	

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known						
Application Number	09/922,473					
Filing Date	August 3, 2001					
First Named Inventor	Alex Urich					
Art Unit	3763					
Examiner Name	Laura A. Bouchelle					
Attorney Docket Number	CTREE-72853					

	U.S. PATENT DOCUMENTS									
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Examiner Initials	Cite No.1	Number-Kind Code 2 (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear					
		US- 4,393,879	07/19/1983	Hyman T. Milgrom						
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Examiner	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant				
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	Tθ			

Examiner	Date	
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